

**Name of Institute: Indus Institute of Management Studies (IIMS)**

**Name of Faculty: Dr. Rajesh Ojha**

**Course code : IMB0701**

**Course name : BUSINESS ETHICS & CORPORATE GOVERNANCE**

Pre-requisites : Graduation

Credit points : 4 Credits

Offered Semester : VII

### **Course Lecturer (Weeks 01 – 15)**

Full name: Dr. Rajesh Ojha

Department with sitting location: IIMS , Management

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Consultation times: 4.00 pm to 5.00pm

Students will be contacted throughout the Session via Mail with important information relating to this Course.

### **Course Objectives**

By participating in and understanding all facets of this Course a student will:

This course is intended to make students understand various social, political, legal and economic and other factors that influence business in India so as to enable them appreciate associated opportunities, risks and challenges and their relevance for managerial decisions.

## Course Outcomes (CO)

Upon completion of this course, students will be able to complete the following key tasks:

CO 1 : Recognize and remember the Scope of Business Ethics and Corporate Governance

CO2 : Understand the impact of Business Ethics and the Role of BOD and Committee in Corporate Governance.

CO 3 : Application of Business Ethics and Corporate Governance

CO 4 : Analyse the Legal Framework of Corporate Governance and formulate Internal Control Policies.

CO5 : Evaluate the legal framework and Global Practices

CO6 : Understand Business Ethics and Corporate Governance by discussing real life cases in the Corporate world.

## Course Outline

### Unit-I

Introduction to Business Ethics: Nature of Ethics; Ethical Concepts and Theories; 1 to 2 Case Studies on Ethics, Morals and Values .

### Unit-II

Managerial Ethics: Managerial & Ethical Dilemmas at work; Managing Ethical Problems; Managerial Ethics and Individual Decisions; Creative Accounting-Its Role in Business Scandals; Corporate Ethical Leadership and Corporate Social responsibility and Social Reporting and Ethics of Whistle Blowing

### Unit-III

Conceptual Framework of Corporate Governance: Concept , Need , Scope , Reports of Various Committees - Narayanmurthy , JJ Irani Committee

### Unit-IV

Internal Corporate Governance Mechanism :Board Composition , Diversity in the Board , Type of Directors , Chairman and CEO , Separation of Roles , Meetings and Processes , Board Committees - their composition and contribution , Role of independent directors.

### Unit-V

(a) External Corporate Governance Mechanism : Regulators in India , Institutional Investors , Minority Investors , Whistle Blowers .

(b) Recent Cases of Corporate Governance in India: ICICI Bank Vs Chanda Kochhar , Tata Group Vs Cyrus Mistry and Infosys Vs Naranayanmurthy.

### Method of delivery

Lectures, PPT, Case Studies, Student Group Presentations

### Study time

Four hours per week

### CO-PO Mapping (PO: Program Outcomes)

	PO1	PO 2	PO 3	PO 4	PO 5	PO 6
<b>CO 1</b>	<b>3</b>	<b>-</b>	<b>1</b>	<b>1</b>	<b>3</b>	<b>-</b>
<b>CO 2</b>	<b>3</b>	<b>-</b>	<b>2</b>	<b>1</b>	<b>3</b>	<b>-</b>
<b>CO 3</b>	<b>2</b>	<b>3</b>	<b>3</b>	<b>1</b>	<b>2</b>	<b>3</b>
<b>CO 4</b>	<b>3</b>	<b>-</b>	<b>2</b>	<b>-</b>	<b>2</b>	<b>-</b>
<b>CO 5</b>	<b>3</b>	<b>-</b>	<b>2</b>	<b>3</b>	<b>2</b>	<b>-</b>
<b>CO 6</b>	<b>3</b>	<b>3</b>	<b>2</b>	<b>-</b>	<b>2</b>	<b>3</b>

### Blooms Taxonomy and Knowledge retention(For reference)

(Blooms taxonomy has been given for reference)

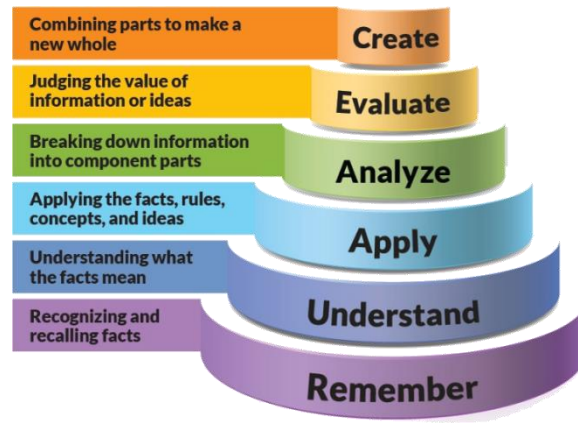


Figure 1: Blooms Taxonomy

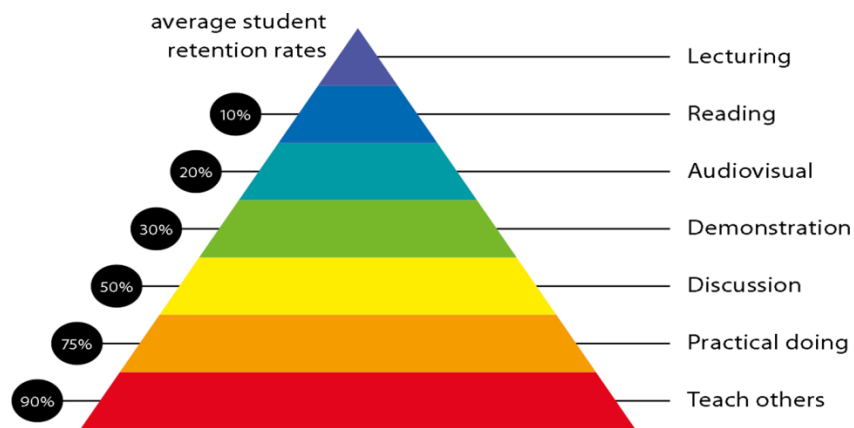


Figure 2: Knowledge retention

### Graduate Qualities and Capabilities covered

(Qualities graduates harness crediting this Course)

General Graduate Qualities	Specific Department of _____ Graduate Capabilities
<p><b>Informed</b></p> <p>Have a sound knowledge of an area of study or profession and understand its current issues, locally and internationally. Know how to apply this knowledge. Understand how an area of study has developed and how it relates to other areas.</p>	<p><b>1 Professional knowledge, grounding &amp; awareness</b></p>

<p><b>Independent learners</b></p> <p>Engage with new ideas and ways of thinking and critically analyze issues. Seek to extend knowledge through ongoing research, enquiry and reflection. Find and evaluate information, using a variety of sources and technologies. Acknowledge the work and ideas of others.</p>	<p><b>2 Information literacy, gathering &amp; processing</b></p>
<p><b>Problem solvers</b></p> <p>Take on challenges and opportunities. Apply creative, logical and critical thinking skills to respond effectively. Make and implement decisions. Be flexible, thorough, innovative and aim for high standards.</p>	<p><b>4 Problem solving skills</b></p>
<p><b>Effective communicators</b></p> <p>Articulate ideas and convey them effectively using a range of media. Work collaboratively and engage with people in different settings. Recognize how culture can shape communication.</p>	<p><b>5 Written communication</b></p>
	<p><b>6 Oral communication</b></p>
	<p><b>7 Teamwork</b></p>
<p><b>Responsible</b></p> <p>Understand how decisions can affect others and make ethically informed choices. Appreciate and respect diversity. Act with integrity as part of local, national, global and professional communities.</p>	<p><b>10 Sustainability, societal &amp; environmental impact</b></p>

### Practical work:

1. ASSIGNMENT -1 Theory Question from Unit No: 1
2. ASSIGNMENT -2 MCQ Class Test from Unit 1 & Unit 2
3. ASSIGNMENT -3 Group Presentations

### Lecture/tutorial times

## Attendance Requirements

The University norms states that it is the responsibility of students to attend all lectures, tutorials, seminars and practical work as stipulated in the Course outline. Minimum attendance requirement as per university norms is compulsory for being eligible for mid and end semester examinations.

## Details of referencing system to be used in written work

### Text books

1. S K Mandal Ethics in Business and Corporate Governance Tata McGraw Hill 2011 or Latest

### Additional Materials (Reference Books)

1.Murthy C.S.V. Business Ethics and Corporate Governance Himalaya Publishing  
2.Fernando A.C. Business Ethics and Corporate Governance Pearson Latest  
3.Rupani Riya Business Ethics and Corporate Governance Himalaya Publishing Latest Edition

## ASSESSMENT GUIDELINES

Your final course mark will be calculated from the following:

1. Assignment - 5 Marks
2. MCQ Class Test - 5 Marks

3. Group Presentations- 5 Marks
4. Attendance - 5 Marks
5. Mid Semester Exams 40 Marks
6. End Semester Exams 40 Marks

## SUPPLEMENTARY ASSESSMENT

Students who receive an overall mark less than 40% in mid semester or end semester will be considered for supplementary assessment in the respective components (i.e mid semester or end semester) of semester concerned. Students must make themselves available during the supplementary examination period to take up the respective components (mid semester or end semester) and need to obtain the required minimum 40% marks to clear the concerned components.

### Practical Work Report/Laboratory Report:

A report on the practical work is due the subsequent week after completion of the class by each group.

### Late Work

Late assignments will not be accepted without supporting documentation. Late submission of the reports will result in a deduction of -% of the maximum mark per calendar day

### Format

All assignments must be presented in a neat, legible format with all information sources correctly referenced. **Assignment material handed in throughout the session that is not neat and legible will not be marked and will be returned to the student.**

### Retention of Written Work

Written assessment work will be retained by the Course coordinator/lecturer for two weeks after marking to be collected by the students.

## University and Faculty Policies

Students should make themselves aware of the University and/or Faculty Policies regarding plagiarism, special consideration, supplementary examinations and other educational issues and student matters.

**Plagiarism** - Plagiarism is not acceptable and may result in the imposition of severe penalties. Plagiarism is the use of another person's work, or idea, as if it is his or her own - if you have any doubts at all on what constitutes plagiarism, please consult your Course coordinator or lecturer. Plagiarism will be penalized severely.

***Do not copy the work of other students.***

***Do not share your work with other students (except where required for a group activity or assessment)***



## Course schedule(subject to change)

(Mention quiz, assignment submission, breaksetcas well in the table under the Teaching Learning Activity Column)

Week #	Topic & contents	CO Addressed	Teaching Learning Activity (TLA)
Weeks 1	Unit-I Introduction to Business Ethics:Nature of Ethics; Ethical Concepts and Theories;1 to 2 Case Studies on Ethics, Morals and Values	CO1 & CO2	Lecture
Weeks 2	UNIT -1 1 to 2 Case Studies on Ethics, Morals and Values	CO1 & CO2	Lecture
Week 3	Unit - 2 Managerial Ethics : Managerial& Ethical Dilemmas at work; Managing Ethical Problems; Managerial Ethics and Individual Decisions;	CO1,CO2	Lecture Assignment No:1
Week 4	Unit 2 : Creative Accounting-Its Role in Business Scandals; Corporate Ethical Leadership	CO1,CO2	Lecture
Week 5	Unit 2 :Corporate Social responsibility and Social Reporting and Ethics of Whistle Blowing	CO1,CO2	Lecture
Week 6	Unit-III:Conceptual Framework of Corporate Governance Concept , Need , Scope ,	CO1 , CO3	Lecture

				MCQ Test
Week 7	Unit -III Reports of Various Committees - Narayanmurthy ,	CO3		Lecture
Week 8	Unit -III Reports of Various Committees -JJ Irani Committee.	CO3		Lecture
Week 9	Unit-IV Internal Corporate Governance Mechanism : Board Composition , Diversity in the Board , Type of Directors , Chairman and CEO , Separation of Roles , Meetings and Processes ,	CO 2 , CO3 , CO4,C05		Lecture  Group Presentation
Week 10	Unit-IV Board Committees - their composition and contribution	CO2 , CO4		Lecture
Week 11	Mid Semester Examination	NA		NA
Week 12	Unit-IV Role of independent directors.	CO4		Lecture
Week 13	Unit-V External Corporate Governance Mechanism : Regulators in India , Institutional Investors ,	CO4		Lecture
Week 14	Unit-V Minority Investors , Whistle Blowers .	CO4		Lecture

	Week 15	Unit V Recent Cases of Corporate Governance in India ICICI Bank Vs Chanda Kochhar , Tata Group Vs Cyrus Mistry and Infosys Vc Naranayanmurthy.	CO3,CO6	Lecture
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